PTO/SB/21 (03-03) Approved for use through 04/30/2003. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE ଐତ୍ତିକାଳ Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. **Application Number** 10/654,546 RANSMITTAL Filing Date 09/03/2003 **FORM** First Named Inventor Timothy J. Guzi et al. Art Unit for all correspondence after initial filing) **Examiner Name Attorney Docket Number** Total Number of Pages in This Submission OC01617K **ENCLOSURES** (Check all that apply) After Allowance Communication Fee Transmittal Form Drawing(s) to Group Appeal Communication to Board Licensing-related Papers Fee Attached of Appeals and Interferences Appeal Communication to Group Petition Amendment/Reply (Appeal Notice, Brief, Reply Brief) Petition to Convert to a After Final Proprietary Information Provisional Application Power of Attorney, Revocation Affidavits/declaration(s) Status Letter Change of Correspondence Address Other Enclosure(s) (please Terminal Disclaimer **Extension of Time Request** Identify below): Request for Refund Cert. of Mailing (1pg.); PTO-1449 (1pg. in dup); Express Abandonment Request References (24); Post Card CD, Number of CD(s) Information Disclosure Statement Remarks Certified Copy of Priority Document(s) Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm or Individual Palaiyur S. Kalyanaraman, Reg. No. 34,634 Signature Date 12/11/2003

	CERTIFICATE OF TRANSMISSION/MAILING
I hereby certify that this corre first class mail in an envelope	spondence is being facsimile transmitted to the USPTO or deposited with the United <u>States Postal Service with sufficient postage</u> as addressed to: Commissioner for Patents, Washington, DC 20231 on this date:
Typed or printed	

Date

Signature

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO:

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

/	OIPE	
	DEC 1 5 2003 12	
\ -	TRADEMARKS	

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

EM.				x			
Applicant(s)	: Timot	hy J. G	uzi e <i>t al</i> .	:	Group Art Unit:		
Serial No.:	10/654	,546		:	Examiner:		
Filed:	09/03/2	2003		:			
For:	"Nove Cyclii Inhibi	n Depei	olopyrimidines as ndent Kinase	: Attorney Docket No. : OC01617K			
		INF	ORMATION DISCLOS	— SUR	E STATEMENT		
Commission P.O. Box 14 Alexandria,	50)				
Sir:							
application.	Copy(e cons (ies) of	sidered and made o	of re 1 ar	documents listed on the accompanying ecord in the above-identified patenties attached were filed in related ectively.		
(b)	No fe	e is beli	eved due because:				
		This i	Information Disclosurens of the filing date of t	Sta	atement is being filed within three (3)		
		This I the ab	tement is being concurrently filed with				
		This Information Disclosure Statement is being concurrently filed a Request for Continued Examination (RCE).					
	\boxtimes	This mailin	Information Disclosur g of a first Office Actio	e S n or	tatement is being filed prior to the nerits.		
(c)		date c	nformation Disclosure of any final action, notic o prosecution; and	Stat ce o	tement is being filed before the mailing f allowance or an action that otherwise		
			Each item of info Disclosure Statemen foreign patent office	it wa in inths	ation contained in this Information as first cited in a communication from a a counterpart foreign application not sprior to the filing of this Information		
			office in a counte knowledge of the uno no item of informatio Statement was know	in a rpar ders n co n to nths	ontained in this Information Disclosure a communication from a foreign patent t foreign application, and, to the igned after making reasonable inquiry, ontained in this Information Disclosure any individual designated in § 1.56(c) a prior to the filing of this Information		

Serial No Decembe Page 2 o	er 11,2			The Commissioner is hereby authorized to charge the requisit fee listed on the attached Fee Transmittal Sheet.
((d)			nformation Disclosure Statement is being filed on or before the ent of the issue fee; and
				Each item of information contained in this Information Disclosure Statement was first cited in a communication from foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement; or
	-			No item of information contained in this Information Disclosur Statement was cited in a communication from a foreign pater office in a counterpart foreign application, and, to the knowledge of the undersigned after making reasonable inquiry no item of information contained in this Information Disclosur Statement was known to any individual designated in § 1.56(commore than three months prior to the filing of this Information Disclosure Statement; and
				The Commissioner is hereby authorized to charge the requisit fee listed on the attached Fee Transmittal Sheet.
r E	equire Depos	ed for t it Accou	his Info unt No.	hereby authorized to charge any additional fees which may bormation Disclosure Statement, or credit any overpayment to 19-0395, Patent Case No. OC01617K. A DUPLICATE COPTATTACHED.
				Respectfully submitted, SCHERING-PLOUGH CORPORATION
Dated: SCHERIN Patent D 2000 Ga Kenilwor Facsimil	iG-PLO Depart allopin rth, N	DUGH Coment, K g Hill Ro J 07033	(-6-1, 19 oad 3-0530	Reg. No.: 34,634 Attorney of Record Telephone No.: (908) 298- 5068

EXAMINER

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTY. DOCKET NO.: OC01617K

APPLICATION NO.: 10/654,546

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

APPLICANT:

Timothy J. Guzi et al.

(Use several sheets if necessary,				<i>(</i>)	FILING DATE: 09/03/2003		GROUP	:			
			U.S. PATI	ENT DOCUM	MENTS			-			
*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME		CLASS	SUB- CLASS	FILING APPRO	DATE IF		
	AA	US 5,571,813	11/05/1996	Rühter et al.							
	AB	US 5,602,136	02/11/1997	Rühte	r et al.						
	AC	US 5,602,137	02/11/1997	Rühter et al.							
	AD	US 5,688,949	11/18/1997	Inoue	et al.						
	AE	US 5,707,997	01/13/1998	Shoji	et al.		1				
	AF	US 5,919,815	07/06/1999	Bradle	y et al.						
	AG	US 6,040,321	03/21/2000	Kim	et al.						
	AH	US 6,191,131	02/20/2001	He	et al.						
	ΑI	US 6,262,096	07/17/2001	Kim	et al.						
	AJ	US 6,413,974	07/02/2002	Dumoi	nt <i>et al.</i>						
			FOREIGN PA	ATENT DOC	UMENTS						
,		DOCUMENT	DATE	COUNTRY		CLASS	SUB-	TRANS	LATION		
		NUMBER					CLASS	YES	NO		
V	AK	EP 0 628 559	04/03/2002	Eur	оре						
	AL	EP 1 334 973	08/13/2003	Eur	оре						
V	АМ	WO 02/40485	05/23/2002	P	CŤ				1		
V	AN	WO 02/50079	06/27/2002	P	CT						
V	AO	WO 95/35298	12/28/1995	P(CT						
	OTI	HER DOCUMEN	ΓS (Includina	Author, Title	e. Date. Pert	inent Pa	ages. Et	(c.)			
	AP	Vesely et al., "Inhibi							m		
		(1994), 224 : 771-78	6.		•	_					
	AQ	Kim et al., "Discove	y of Aminothia	zole Inhibitors o	of Cyclin-Deper	ndent Kina	se 2: Syı	nthesis,	X-ray		
V		Crystallographic An EST:22.3, A-W.	Kim et al., "Discovery of Aminothiazole Inhibitors of Cyclin-Dependent Kinase 2: Synthesis, X-ray Crystallographic Analysis, and Biological Activities", <i>Journal of Medical Chemistry</i> , Page EST:22.3, A-W.								
V	AR	Mettey et al., "Aloisines, a New Family of CDK/GSK-3 Inhibitors. SAR Study, Crystal Structure in Complex with CDK2, Enzyme Selectivity, and Cellular Effects", J. Med. Chem. (2003), 46(2): 222-236.									
1	AS		Novinson <i>et al.</i> , "Synthesis and Antifungal Properties of Certain 7-Alkylaminopyrazolo[1,5-α]pyrimidines", <i>J. Med. Chem.</i> (1977), 20 (2): 296-299.								
	AT	Senderowicz et al.,	"Phase I Trial o	f Continuous In	fusion Flavopii	ridol, a No	vel Cycli	n-Deper	ndent		
V			Senderowicz et al., "Phase I Trial of Continuous Infusion Flavopiridol, a Novel Cyclin-Dependent Kinase Inhitibor, in Patients with Refractory Neoplasms", <i>Journal of Clinical Oncology</i> (September 1998) 16(9): 2986-2999								
	AU	Meijer et al., "Bioche		ular Effects of F	Roscovitine, a l	Potent and	d Selectiv	e Inhibi	tor of		
V		the Cyclin-Depende									
	AV										
Ų		Antineoplastic Agen	Bible et al., "Cytotoxic Synergy Between Flavopiridol (NSC 649890, L86-8275) and Various Antineoplastic Agents: The Importance of Sequence of Administration", Cancer Research (August 15, 1997), 57: 3375-3380.								
	AW			ure-Activity Rela	ationship of a N	lew Serie	s of Pote	nt Angio	tensin		
V		Shiota <i>et al.</i> , "Synthesis and Structure-Activity Relationship of a New Series of Potent Angiotensin II Receptor Antagonists: Pyrazolo[1,5-α]pyrimidine Derivatives", <i>Chem. Pharm. Bull.</i> (1999), 47 (7): 928-938.									
Ų	AX										

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

DATE CONSIDERED

PTO/SB/92 (08-03) (Modified)

Application No.: 10/654,546 Filing Date: 09/03/2003

Applicant: Timothy J. Guzi et al.

Title: NOVEL PYRAZOLOPYRIMIDINES AS CYCLIN DEPENDENT KINASE INHIBITORS

Certificate of Mailing under 37 CFR 1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to:

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Signature

Palaiyur S Kalyanaraman, Reg. No. 34,634

Typed or printed name of person signing Certificate

Note: Each paper must have its own certificate of mailing, or this certificate must identify each submitted paper.

Documents enclosed:

Response Transmittal (PTO/SB/21) - 1pg.

Information Disclosure Statement - 2pgs.

PTO-144 Form - 1pg. (in duplicate)

References (24)

Certificate of Mailing - 1pg.

Post Card

This collection of Information is required by 37 CFR 1.8. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1.8 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.